



Attorney Docket No. 0756-7279

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Shunpei YAMAZAKI et al.

Serial No: 10/815,654

Filed: April 2, 2004

For: SEMICONDUCTOR DEVICE,
METHOD OF FABRICATING SAME,
AND ELECTROOPTICAL DEVICE

) Examiner: M. Guerrero

) Art Unit: 2822

) CERTIFICATE OF MAILING
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)

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing information known to Applicant and requests that this information be made of record in the above identified application. Copies are submitted herewith in accordance with 37 C.F.R. 1.98(a).

Copies of U.S. patents and U.S. publications are not enclosed in accordance with the Notice published in the Official Gazette on August 5, 2003 entitled *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003*, which waives the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. publication.

Except as provided below, in accordance with 37 C.F.R. § 1.97(e), it is certified that either (1) each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure

statement, or (2) no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement.

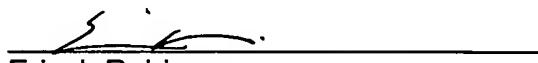
The Japanese references were cited by the Japanese Patent Office in a counterpart Japanese application on August 9, 2005.

U.S. Patent No. 5,313,322 is in the family of JP 05-119305; U.S. Patent No. 5,354,497 is in the family of JP 05-297364 and U.S. Patent No. 6,642,073 is in the family of JP 06-318701. These U.S. Patents were not directly cited by the Japanese Patent Office, but are submitted herewith for consideration by the Examiner.

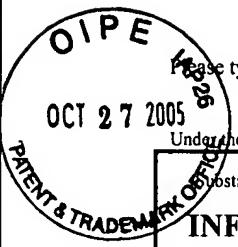
Full English translations of JP 05-113572; JP 05-173120; JP 05-315362; JP 06-289210 and JP 06-347774 are being prepared and will be submitted shortly.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280. A duplicate copy of this sheet is attached.

Respectfully submitted,


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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
				Application Number	10/815,654
				Filing Date	April 2, 2004
				First Named Inventor	Shunpei Yamazaki et al.
				Group Art Unit	2822
				Examiner Name	M. Guerrero
Sheet	1	of	1	Attorney Docket Number	0756-7279

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
		5,313,322		Takanashi et al.	05/17/1994
		5,354,497		Fukuchi et al.	10/11/1994
		6,642,073		Zhang et al.	11/04/2003

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Office ³	Number ⁴	Kind Code ⁵ (if known)		
		JP	04-335617			11/24/1992
		JP	05-072562			03/26/1993
		JP	05-113572			05/07/1993
		JP	05-119305			05/18/1993
		JP	05-173120			07/13/1993
		JP	05-297364			11/12/1993
		JP	05-315362			11/26/1993
		JP	06-169086			06/14/1994
		JP	06-289210			10/18/1994
		JP	06-291315			10/18/1994
		JP	06-318701			11/15/1994
		JP	06-333823			12/02/1994
		JP	06-347774			12/22/1994

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				

Examiner Signature	Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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